

Curriculum Vitae

Personal Information

SURNAME	MORAITAKIS
NAME	ALEXANDROS
FATHER'S NAME	GEORGE
ADDRESS	2 LYKEIOY street, 10674 ATHENS
TELEPHONE	+30 2106770433
MOBILE	+30 6977903903, +30 6981317300
E-mail	moraitakis.alexandros@gmail.com
FAMILY STATUS	Married, father of two children, boy 28 years old, girl 20 years old (My son works in the area of Structured Products in Monaco. My daughter student of engineering and AI at MIT with many awards)
LinkedIn Profile	Αλέξανδρος Μωραϊτάκης

University Education

1966-1971	Degree in Economics from the Athens University of Economics and Business Studies
1972-1973	Post - graduate Diploma in Business Administration (1973) from the Athens University of Economics & Business studies

Professional Seminars

Many Seminars in Finance, Marketing, Operational Research, Auditing, Management etc. in UK, Germany, USA, France, Greece
--

Foreign Languages

Greek	Native language, Excellent
--------------	----------------------------

English	Fluently
French	Sufficient

Qualifications and Registrations

- USA Securities Registered Representative, Series 7,
- USA Futures (Derivatives) Registered Representative, Series 3,
- Options on Currencies and Fixed Income, Registered Representative, Series 15 USA Stock Exchange Representative in Greece (Certification by the Hellenic Capital Market Commission, after examinations (2000) with a success rate of 20/20 in the financial markets and 17/20 in the legal framework.
- Derivatives Specialist recognition of previous international professional qualifications - FDI 2000)
- Investment Advisor (Certification by the Hellenic Capital Market Commission, recognition of previous Greek and international professional titles, 2000)
- Portfolio Manager (Certification by the Hellenic Capital Market Commission, recognition of previous Greek and international professional titles 2000)
- Analyst (the highest certification by the Hellenic Capital Market Commission) recognition of previous Greek and international professional titles 2000)
- Stock Exchange Representative in Cyprus - Oral Examination (2006)
- Licensed Real Estate Broker

Professional Work Experience

- **1967 - 1972: Commercial (Emporiki) Bank of Greece:** 3 years at a Branch and 2 years in the team of Booz - Allen & Hamilton. The team was under the supervision of the General Management of the Bank and their top senior consultants in order to organize efficiently all the sections of Bank of 7000 employees and improve its management (strategy, work study, elimination of unnecessary procedures, risk management, accounting, auditing, web banking, leadership etc.). One of the team's tasks was to implement the Bank's strategy in all branches, by initially applying the procedures at 8 branches.
- **1972 - 1973:** Serving the Compulsory Military Service in the Navy Headquarters as Operational Research specialist
- **1973 - 1977:** Export Director of the Companies Manuli Hellas Cables S.A (now ALCATEL CABLES) and HELLENIC CABLES S.A.
- **1973 – 1976:** Elected member of the BoD, General Secretary and in charge of Public Relations of the Association of the Athens Economic University's Graduates

- **1977 - 1978: Auditor** of Arthur Andersen after having received relevant in-house training (Paris and Athens).
- **1978 -1981: Managing Director** of ELOT (Hellenic Organization for Standardization) representing Greece and ELOT at the B/D (Board of Directors) of the International Organizations for Standardization Bodies **ISO** (international organization for Standardization) **based in Geneva**, B/D of **CEN** (European Committee for Standardization) **based in Brussels**, B/D of **CENELEC** (European Committee for electro technical Standardization) **based in Brussels**.
- **Dec 1980 -Nov 1985: Merrill Lynch in Athens and Monte-Carlo. Registered Representative of all USA Exchanges** regulated by NASD /SEC and NFA/CFTC. Top producer as Financial Consultant of Merrill Lynch in all types of Financial/investment Products. Assistant Vice President of Merrill Lynch in Athens and Monte Carlo/Monaco, travelling every two weeks from one place to another. My responsibilities at Merrill Lynch included, among others, all private banking functions like multicurrency loans to customers of Merrill Lynch Bank in order to leverage their positions, advising clients in bonds, stocks, options, futures, forex, mutual funds and other investment products, providing bank guarantees using the customers' assets as collateraletc. Special emphasis was given to tax structures (Trusts, funds, offshore companies, insurance wrappers, etc.) and structured products (equity derivatives, fixed income derivatives etc.). **I was also involved in investment banking activities providing consulting services to industrial companies as well as financial companies.** The overall strategy was to develop Merrill Lynch's wealth management business from the ground up in new markets.
- **1985-1991: Shareholder** of NUNTIUS SA (*) and Partner with **Drexel Burnham Lambert, UBS Philips & Drew, Pershing / DLJ Inc, and Pershing Securities Ltd, offering clients a wide range of financial services including:**
 - ✓ Investments in foreign stock exchanges
 - ✓ **Real Estate Broker at Purchases, Sales and Real Estate Appraisals** (with many important successful deals)
 - ✓ Company Purchases and Sales (M&A)
 - ✓ **Teaching in Financial Seminars.** (Technical analysis, Fundamental Analysis, Portfolio Theory, derivatives, corporate Finance, Venture Capital etc, with the issuance of certificates). Courses and exams with titles from USA in series 7, series3, series15.
 - ✓ Shareholder (50%, circa 1991) in a hi-tech, Stock & Derivatives Exchange Vendors Company (ORIGIN) which was sold later in 2000 at a 10 times profit versus the original invested amount.

(*) NUNTIUS SA is different than NUNTIUS BROKERAGE AND INVESTMENT SERVICES S.A., and it is now called ALEMOR SA.

- **1991 to June 2024: NUNTIUS BROKERAGE AND INVESTMENT SERVICES S.A.**

Founder, Chairman, Managing Director and Lead Corporate Strategist.

I actively served as Stock Exchange and Derivatives Representative Analyst, Asset Manager, and Investment Advisor. (Currently I was a 50% shareholder of the company with the remaining 50% owned by my brother. NUNTIUS was sold 23/4/2023).

NUNTIUS BROKERAGE AND INVESTMENTS SERVICES SA (“NUNTIUS”) is a Company regulated by the Hellenic Republic Capital Markets Commission. It is a member of the Athens Exchanges (cash and derivatives markets), the Central Securities Depository of Athens & the Athens Stock Exchange Members’ Guarantee Fund). ***NUNTIUS operates with the highest level of licence in its business category. NUNTIUS has all the existing investment licences. It is authorised to underwrite issues, to trade on its own account (market making), to consult companies and investors, to hold assets and money, to trade on behalf of clients, to manage client’s assets, on all types of financial instruments, as well as to provide general financial/investment services.*** The Company owns its Head Quarter offices of 750 m² at the best financial centre in Athens with unlimited parking space and it is located next to a metro station (PANESTIMIOU).

The firm’s ***International Clientele*** consists of Institutional Clients from the USA, UK, Switzerland, EU countries as well as Greece who invest in Global, European and Emerging Markets including the ***Banking Sector***. NUNTIUS’s clientele includes a large number of high-net-worth individuals from Greece. NUNTIUS is also authorised by the Hellenic Capital Market Commission to provide financial services in Luxembourg, UK, Check Republic, Poland, Bulgaria, Hungary, Spain, Italy, Sweden and Germany; thereby, making use of the ***ISD European Passport***.

NUNTIUS has offered to the Greek financial community special OTC structured products and deals (e.g. (a) the whole deal between Merrill Lynch and Commercial Bank of Greece, of 55 million USD, (b) OTC options on shares of listed companies) etc. As part of on-going business activities at NUNTIUS all sectors of the Greek economy are closely followed and analysed including the ***banking sector, the insurance sector***, energy, water and public services sectors, construction companies, retail commerce and tourism enterprisers. Since 2015, the Company own eda FINTECH trading platform called “Wiredmarket”, where clients could trade Forex and CFDs both in equities and commodities as well as Forex by applying digital trading techniques.

As of 2021, NUNTIUS has a portfolio of over 20,000 clients who entrust the company with their own private funds.

Professional Associations and Board Memberships

- **From March 1998 up to 2000:**
 - ✓ Member of the Board of Directors of the established in March 1998 Athens Derivatives Exchange (ADEX) representing the Association of Members of the Athens Exchanges (The members of the Association were at the time shareholders of the company with 14% of the total shares). In 2000 ADEX merged with the Athens Stock Exchange.
 - ✓ Member of the Committee for Greek Derivatives terminology.
 - ✓ Member of the Committee of setting up the first products of ADEX.
- **From 2003 – 2004:**
 - ✓ Member of the Board of Directors of the Association of Members of the Athens Exchanges.
- **From 1995 - 1997: From 1997- 1999: From 2004 - 2007:**
 - ✓ Member of the Ethics Committee of the Association of Members of the Athens Exchanges
- **From OCT 5/2004 until DEC 2014:**
 - ✓ Elected three times President of SMEXA (Association of Members of the Athens Exchanges in English, website: www.smexa.gr), members of which are brokerage firms and banks that are members of the Athens Exchange – Securities or derivatives market. Now since 2016 member of the board of SMEXA
 - ✓ Ex Member of the Board of Directors of the Athens Stock Exchange Members' Guarantee Fund for investor compensation in case of members' bankruptcy (similar to SIPC in USA).
 - ✓ Ex-Member of the Board of Directors of the listed holding Company Hellenic Exchanges S.A.(OCT 2004- APRIL 2007). Also, President of the building Committee & member of Investment Committee as well as of Strategic Committee).
- **From June 5/06 until 2012:**
 - ✓ Elected member of the B/D of the Athens industrial & Commercial Chamber of Commerce, responsible for business with Saudi Arabia, Russia, Ukraine.
 - ✓ President of the Economics Committee
- **From 2006 until today:**
 - ✓ Representing SMEXA at SEV (Greek industries & Business Association)
- **From 30.3.06 until 2011:**
 - ✓ Member of the General Council of the Association of Greek Companies (SA & LTD).
- **From 18/11/2014 until 31/12/2014**

- ✓ Elected by the people Member of the Greek Parliament in the first area of Athens for “NEA DIMOKRATIA”. I have been audited for “potheneshes” by the Audit Committee of the Parliament for 4 years. After that period, due to my position at NUNTIUS, I am audited until now for “asset and funds – source declaration” by the Money Laundering Committee.

Seminars Attended and on the Job Training (Among others):

- ✓ "Corporate Research" by the Greek Corporate Research Society” (1967-1973)
- ✓ "Market Research & Sales Promotion" by DAIMLER-BENZ (MERCEDES) in Stuttgart and Sindelfingen (summer 1976)
- ✓ Banking Organisation, Management, Simplification of Procedures and Methods of Work Measurement by the American consulting company 'BOOZ-ALLEN & HAMILTON INTERNATIONAL' while working at the Commercial Bank (1970-1972).
- ✓ "Auditing” by ARTHUR ANDERSEN in Paris at the facilities of HAUTE ETUDE COMMERCIALE for executives of the Department of Audit (summer 1977).
- ✓ "Finance" in 1981 by Merrill Lynch in New York, Chicago and London regarding Bonds and Derivatives, Real Estate Investments, Investment Banking, Private Banking, and Trusts. Training program for securities trading incorporated the supply and demand factors of each product (i.e. price determination), fundamental and technical analysis, validation, fact sheets on the differences and similarities of the same products in different exchanges of the world and arbitrage opportunities.

It is important to note here that ongoing financial education at Merrill Lynch was conducted either at work or a specific educational centers (i.e. securities in New York, derivatives in New York and Chicago and currencies as well as other products of the London Stock Exchange in London). An important segment of the training curriculum included visits to major trading floors, interaction with managers and detailed presentations by key Merrill executives describing their own work in securities trading, clearing and market making. Another key component of the training program was conducted by leading traders from the following exchanges:

- NYSE - New York Stock Exchange
- COMMEMEX - Commodities Metal Exchange of New York (gold, silver, copper)
- NYBOT - New York Board of Trade (cotton, petrol, sugar, copper, etc)
- CBT - Chicago Board of Trade (US T-bonds, stock options, etc.)
- CME - Chicago Mercantile Exchange (including IMM that trades US T-bills, international currencies and currency options.

- LSE - London Stock Exchange
- LIFE - London International Futures Exchange (derivatives, currencies, forex, etc)
- LME - London Metal Exchange (Aluminium, copper, lead, nickel, platinum)
- IPE - London International Petroleum Exchange
- PHLX-Philadelphia Stock Options Exchange

Authored Articles and Informational Notes Addressed to Investors & Professionals

- **1981:** Among many others, notes to investors concerning international currencies (forex) (i.e. Dollar, Mark, Yen, British Pound, Swiss Franc, etc. traded either in futures in organized markets or/and at the interbank market.
- **1982:** Notes to investors on the London Metal Exchange
- **1986:** A brochure named "how to take advantage of inflation"
- **2000:** Notes to those who wished to sit the examination in order to acquire the Greek Professional Titles of ADEX: "The derivatives market." Relevant seminars were held in 1999 and were successful at the relevant examinations by 75 %.
- **1986-2000:** Notes on all financial issues taught or presented in the seminars organized by the companies NUNTIUS Brokerage Firm and NUNTIUS International Financial Centre (among others):
 - ✓ Fundamental Analysis of Companies
 - ✓ Necessary knowledge for efficient investments in the ATHEX.
 - ✓ Introduction to Derivatives
 - ✓ The derivatives for either conservative or speculative investors
 - ✓ Options on Currencies
 - ✓ Methods of Selling intangibles (fixed income, equities and options on equities)
 - ✓ Methods of Selling commodities/futures
- **1975:** "The importance of reopening the Suez Canal for the international and Greek Economy". [Economicos Taxidromos 5/6/1975]

Hearings at the Parliament

For financial and legal issues that the CEO of Hellenic Financial Stability Fund should be familiar

As president of SMEXA (Association of Members of Athens Exchanges)

Speaker (many times) as a technocrat after being invited to a formal hearing of the Standing Committee on Economic Affairs of the Hellenic Parliament on legal and institutional issues. **Including:**

- ✓ (6/10/2004): Hearing on the legislative bill for "**Mutual funds management companies, collective investment institutions in transferable securities, mutual funds and other provisions**".
- ✓ (30/3/2005): Hearing on the legislative bill for "**The protection of the Capital Market from acts of persons holding privileged information and acts of market manipulation**".
- ✓ (22/6/2005): Hearing on the legislative bill for "**Capital Market Issues & other provisions**".
- ✓ (04/05/2006): Hearing on legislative bill for "**The Transposition into national law of Directive 2004/25/EC on public procurement**".
- ✓ (06/05/2006) Hearing on the **acquisition of Finans bank by NBG** (National Bank of Greece).
- ✓ (13/1/2009): Hearing on the legislative bill for "**The Deposit and Investment Guarantee Fund (TEKE), incorporation of Directives 2005/14 / EC on compulsory vehicle insurance and 2005/68 / EC on reinsurance and other provisions**".
- ✓ (4/3/2009): Hearing on the legislative bill for the Ministry of Finance regarding "**The Intangible Securities System, provision for the Capital Market, tax issues and other provisions**".
- ✓ (7/9/2011): Hearing on the legislative bill for "**The Enhanced measures of supervision and consolidation of credit institutions**".
- ✓ (22/9/2010): Hearing to the Examination Committee of the Parliament regarding **Bonds issues**.
- ✓ (2016-2017) As a Full Member, representative of SMEHA in the Law Drafting Committee of the Ministry of Finance for the incorporation of the **MiFid II** directive (2014/65 / EU) in the Greek Institutional Framework (Law 4514/2018)

Awards and Recognitions

- ✓ **On 1/6/2017** I was awarded an honorary plaque of honor by the postgraduate department of Accounting and Finance of the Athens University of Economics and Business studies in "a sign of recognition of my long-term offer in the financial field"
- ✓ **On 3/7/2018** NUNTIUS AXEPEY was included in the list of "The most admired Enterprises in Greece" (healthier growing companies) by NEWTIMES Publishing.
- ✓ **For 2018**, NUNTIUS AXEPEY was included by DIRECTION BUSINESS NETWORK with the sponsorship of PIRAEUSBANK, in the list "Champions of the Greek Economy".
- ✓ **On June 2024**, I was awarded recognition for my services to the Greek Economy

Miscellaneous

- Speaker in press interviews
- Speaker on TV
- Speaker at prestigious international financial seminars about investments in Greece and other Emerging Markets. The speeches took place in London (Bloomberg premises), Edinburgh (Hilton Hotel) New York (Bloomberg premises and Pierre Hotel) Florida (Bayscane/Hotel).
- World Traveler including countries of North, Latin and Central America, the Caribbean, Europe, Africa, Middle East and Asia (China, Japan, Singapore, Thailand, Pakistan, India, Iran, Lebanon, Turkey, UAE etc.).
- Excellent contacts and relationship with the Greek press, the Greek business community, Greek ship-owners, prominent political persons of all parties, the Governing Body of Bank of Greece, the members of the Capital Market Commission etc.
- Frequent speaker at numerous Greek TV channels taking part in discussions about the A Greek debt crisis, economic comments, forecasts, etc.
- Author of articles published in "Real News" (under the "Real Money" column), IMERISSIA and many other Greek newspapers and portals such as Euro2day, Capital, and Chrima regarding ongoing and current developments in Greek Financial Markets.
- Speaker for marketing reasons in Financial Seminars for Greek clients.
- Interviews by "Reuters" "Bloomberg" "Stern" FT, etc. on Greek financial issues with international interest.

Editor of the site: "Financialreport.gr" since 2015